

Dinuclear chiral vanadium catalysts for oxidative coupling of 2-naphthols *via* a dual activation mechanism

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This review describes our recent efforts in the development of chiral dinuclear vanadium complexes that work as dual activation catalysts for the oxidative coupling of 2-naphthols. The dinuclear vanadium(IV) complex (R_a,S,S)-**1a** was prepared by complexation of $VOSO_4$ with the Schiff base derived from (*R*)-3,3'-diformyl-2,2'-dihydroxy-1,1'-binaphthyl (**15**) and (*S*)-*tert*-leucine. Since the dinuclear vanadium(IV) complex was found to be readily oxidized to afford a corresponding vanadium(V) species during preparation in air, a new synthetic procedure using $VOCl_3$ has been applied towards dinuclear vanadium(V) complexes (R_a,S,S)-**2** and (R_a,S,S)-**3**. To the best of our knowledge, (R_a,S,S)-**1a**, **2** and **3** show considerably higher catalytic activity than previously reported vanadium complexes for the oxidative coupling of 2-naphthols.

1. Introduction

Asymmetric catalysis provides chemists with new and powerful tools for the efficient preparation of optically active molecules. The design and development of high performance catalysts are of ongoing interest in organic chemistry.¹ These catalysts, in combination with reaction-promoting functionalities, are considered state of the art in recent stereoselective syntheses. Dual activation by bi- or multi-functional catalysts leads to high reaction rates and appropriate positions for reaction substrates resulting in excellent asymmetric induction, and promises to offer potential gains in efficacy over mono-functional catalysts.² For effective dual activation of substrates such as a nucleophile and an electrophile, a balance between acidic and basic functionalities in the catalyst is required (Fig. 1, Type A). For instance in an acid–base type

catalyst, the self-quenching reaction of acidic and basic sites on the catalyst can lead to inactivation. On the contrary, a chiral complex with two identical metal centers in one molecule, which could activate two substrates simultaneously in a homolytic coupling reaction of 2-naphthols, would enhance the reaction rate with high enantiocontrol (Type B).³ To achieve the dual activation concept in an oxidative coupling reaction, we have designed and developed the catalysts (R_a,S,S)-**1a**, **2** and **3** possessing two active sites attached to a binaphthyl-derived skeleton, which take advantage of activation entropy. Activation entropy strongly contributes to oxidative coupling after two molecules of 2-naphthol are complexed with the dinuclear vanadium catalyst.⁵

2. Chiral vanadium catalysts for oxidative coupling of 2-naphthols

Optically pure BINOLs have been successfully used as chiral ligands and auxiliaries for highly stereoselective reactions.⁶ For this reason, the synthesis of optically pure BINOLs has continued

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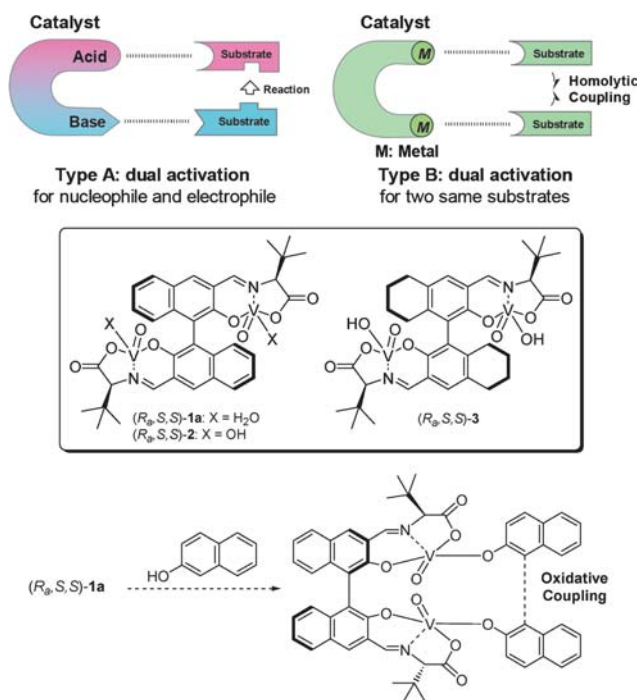


Fig. 1 Dinuclear vanadium complex with dual activation system in oxidative coupling of 2-naphthols.

to attract the attention of many researchers.⁷ The asymmetric oxidative coupling of 2-naphthols with a chiral vanadium catalyst provides one of the simplest routes to prepare optically pure BINOLs. Vanadium-mediated couplings proceed under mild reaction conditions and tolerate many functional groups, with the further advantage that only water is formed as a side product. In 1969, Carrick first reported that VCl_4 and $VOCl_3$ catalyzed the coupling reaction of 2-naphthols to produce racemic BINOLs.⁸ In 2001, Chen^{9a} and Uang^{10a} independently reported the first efficient asymmetric vanadium(IV) catalysts **4** and **5** for oxidative coupling of 2-naphthols which are prepared from vanadyl sulfate, aldehydes and (*S*)-amino acids¹¹ (Fig. 2). Chen investigated the effect of oxygen pressure to improve the coupling yields. The coupling rate of 2-naphthol using catalyst **6**^{9b} was greatly enhanced by more than six times under an oxygen pressure of 8.8 atm, though a significant drop in ee for BINOL was observed from 84 to 54% ee. Uang found that the activity of catalysts **5** and **9** could be improved by the addition of Lewis^{10a} or Brønsted acids.^{10b} Gong reported highly enantioselective dinuclear vanadium catalysts **7a–b**, **8a** and **12** possessing a V–O–V linkage.¹² The self-dimerized vanadium complexes **10** on SiO_2 were developed by Iwasawa for the oxidative coupling of 2-naphthol.¹³ Habaue explored the asymmetric oxidative coupling polymerization of 2,3-dihydroxynaphthalene (DHN) promoted by catalyst **11** prepared from (*R*)-Phbox and vanadyl sulfate (Scheme 1).¹⁴ The enantioselectivity during the polymerization was estimated to be 80% ee, though catalyst **11** gave (*R*)-BINOL with 4% ee when using 2-naphthol as a substrate. Although the vanadium catalysts as shown in Fig. 2 exhibited good enantioselectivity for the coupling of 2-naphthols, the catalytic activity was moderate (Table 1).

Development of active vanadium complexes with high enantiocontrol remains a challenge.

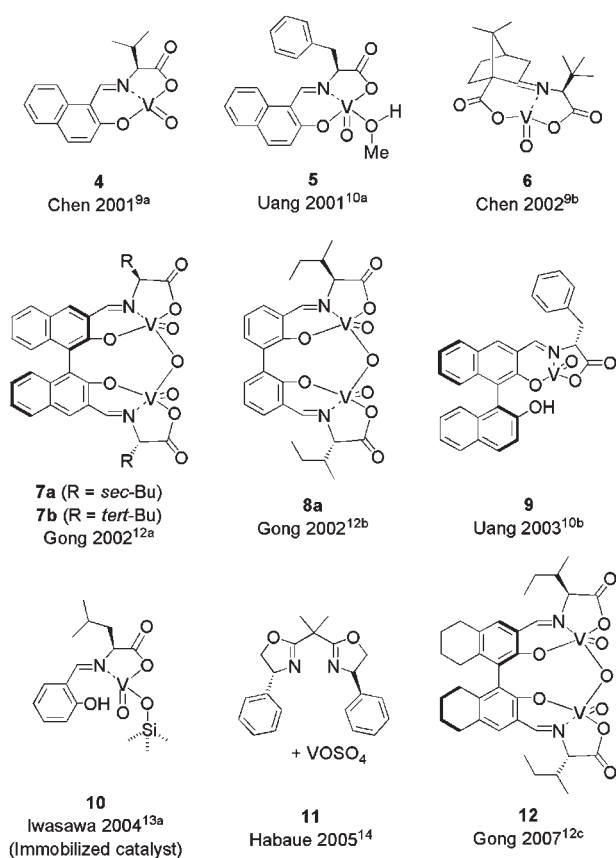
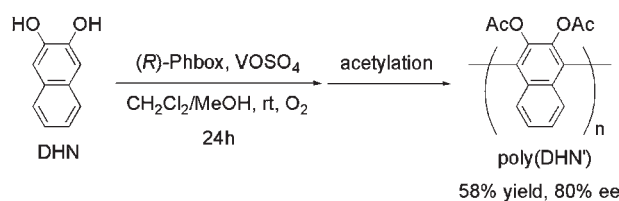


Fig. 2 Chiral vanadium catalysts for the oxidative coupling of 2-naphthols.



Scheme 1 Asymmetric oxidative coupling polymerization of 2,3-dihydroxynaphthalene (DHN) promoted by the catalyst **11** derived from $VOSO_4$ and (*R*)-Phbox.

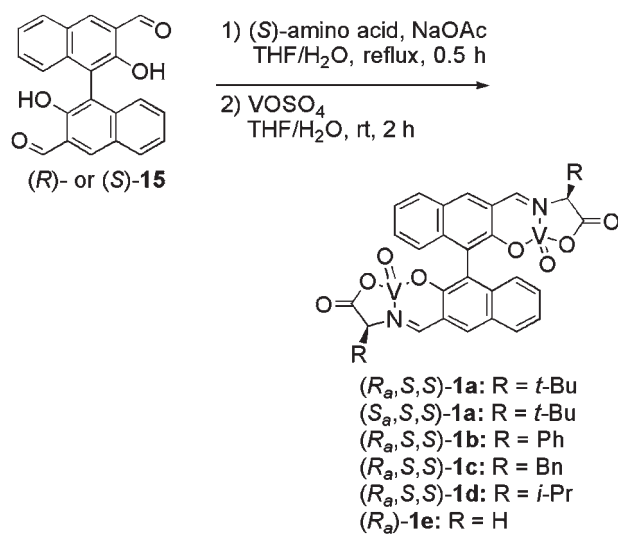
3. Chiral dinuclear vanadium(IV) complexes for oxidative coupling of 2-naphthol

In order to create a dual activation system in an oxidative coupling reaction, dinuclear vanadium(IV) complexes were prepared from vanadyl sulfate, (*R*)- or (*S*)-3,3'-diformyl-2,2'-dihydroxy-1,1'-binaphthyl (**15**) and amino acids (Scheme 2). Since NMR spectroscopy is not suitable for the paramagnetic vanadium(IV) species, FAB-HRMS, electron spin resonance (ESR) spectroscopy and FT-IR analyses were used to characterize **1**. ESR of (*R*_a,*S*,*S*)-**1a** showed eight peaks which can be assigned to a vanadium(IV) species (Fig. 3). The oxidative coupling reactions of 2-naphthol by catalysts **1** were carried out in CH_2Cl_2 at 30 °C for 24 h under oxygen (Table 2). Among the catalysts we studied, (*R*_a,*S*,*S*)-**1a** possessing *tert*-butyl groups exhibited an extremely high catalytic activity in the oxidative coupling of 2-naphthol (entry 1). No byproduct was observed in any reaction. Since the diastereomeric

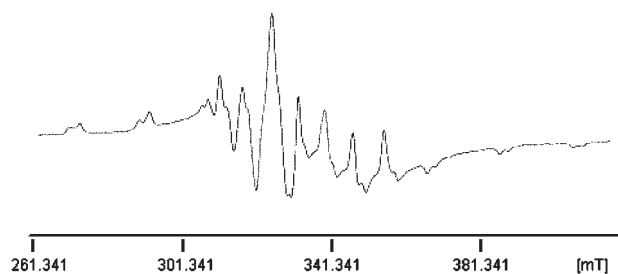
Table 1 Oxidative coupling of 2-naphthol mediated by chiral vanadium catalysts

Catalyst (mol%)	Atmosphere (1 atm)	Solvent	Temp./°C	Time/h	Yield (%)	Ee (%)
4 (10)	O ₂	CCl ₄	0	144	94	62 (<i>R</i>)
5 (2) ^a	O ₂	CHCl ₃	Rt	24	82	51 (<i>R</i>)
6 (3)	O ₂	CCl ₄	40–45	168	99	84 (<i>S</i>)
7a (10)	O ₂	CCl ₄	0	144	93	83 (<i>R</i>)
7b (10)	O ₂	CCl ₄	0	192	63	71 (<i>R</i>)
8a (10)	O ₂	CCl ₄	0	168	89	89 (<i>R</i>)
9 (5)	O ₂	CHCl ₃	Rt	17	93	54 (<i>R</i>)
10 (3)	Air	Toluene	–10	264	93	90 (<i>R</i>)
11 (10)	O ₂	CH ₂ Cl ₂ –MeOH (7 : 1)	Rt	144	27	4 (<i>R</i>)
12 (5)	Air	CCl ₄	0	96	58	60 (<i>R</i>)

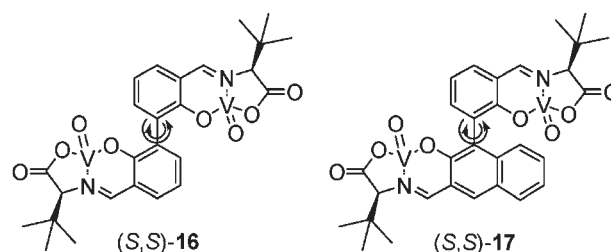
^a 2 mol% of TMSCl was added.

**Scheme 2** Preparation of dinuclear vanadium(IV) complexes **1**.

catalyst (*S_a*,*S*,*S*)-**1a** was less active (entry 2), (*R_a*,*S*,*S*)-**1a** constitutes a *matched pair* and (*S_a*,*S*,*S*)-**1a** would be a *mismatched pair*¹⁵ for the oxidative coupling of 2-naphthol. The tropos-type¹⁶ complexes (*S*,*S*)-**16** and **17**, with free rotation of either the

**Fig. 3** ESR spectrum of (*R_a*,*S*,*S*)-**1a** in CH₂Cl₂ at –120 °C.

phenyl–phenyl or phenyl–naphthyl unit, were also prepared, though their reaction rates were decreased compared to (*R_a*,*S*,*S*)-**1a** (entries 7 and 8). In the case of the complexes (*S*,*S*)-**16** and **17**, the equilibrium between matched and mismatched conformations reduced the reaction rate. These results revealed that the two *tert*-leucine derived moieties in the catalyst affect the enantiocontrol of the coupling and the binaphthyl backbone assists in aligning the two molecules of 2-naphthol with each other for the oxidative coupling.



An insufficient amount of vanadyl sulfate leads to the formation of vanadium(IV) complex **18** which bears only one vanadium metal in the catalyst molecule. An attempt to deliberately prepare complex **18** for comparison of its catalytic activity with that of (*R_a*,*S*,*S*)-**1a** resulted in a switch of the major product enantiomer to (*R*)-BINOL with 13% ee (Table 3, entry 1). To prevent the formation of a mononuclear vanadium catalyst, 4 equiv. of vanadyl sulfate was used (entry 3). The excess vanadyl sulfate can be filtered off after complex formation. Although the reaction rate promoted by (*R_a*,*S*,*S*)-**1a** was slightly decreased under air, (*S*)-BINOL was obtained with higher enantioselectivity than that produced under oxygen (entry 4). In either CCl₄ or CHCl₃, the reaction mixture was heterogeneous and (*S*)-BINOL was obtained in low yields with moderate enantioselectivity (entries 6 and 7). In (CH₂Cl)₂, the coupling reaction proceeded smoothly to

Table 2 Enantioselective coupling of 2-naphthol promoted by chiral dinuclear vanadium(IV) catalysts

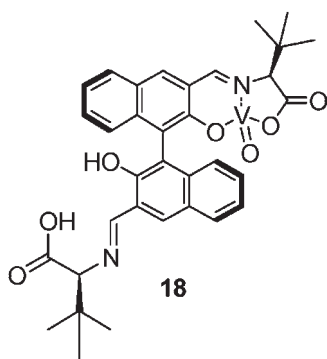
Entry	Catalyst	Yield (%)	Ee (%)
1	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	83	83 (<i>S</i>)
2	(<i>S</i> _a , <i>S</i> , <i>S</i>)- 1a	9	2 (<i>R</i>)
3	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1b	21	Rac
4	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1c	30	8 (<i>R</i>)
5	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1d	52	66 (<i>S</i>)
6	(<i>R</i> _a)- 1e	6	Rac
7	(<i>S</i> , <i>S</i>)- 16	57	81 (<i>S</i>)
8	(<i>S</i> , <i>S</i>)- 17	63	81 (<i>S</i>)

Table 3 Activity of the catalyst derived from various amounts of VOSO₄, (*R*)-**15** and (*S*)-*tert*-leucine

Entry	Amount of VOSO ₄ ^a / mol equiv.	Atmosphere	Solvent	Amount of catalyst (mol%)	Time/h	Yield (%)	Ee (%)
1	1	O ₂	CH ₂ Cl ₂	5	24	15	13 (<i>R</i>)
2	2	O ₂	CH ₂ Cl ₂	5	24	64	78 (<i>S</i>)
3	4	O ₂	CH ₂ Cl ₂	5	24	83	83 (<i>S</i>)
4	4	Air	CH ₂ Cl ₂	5	24	76	91 (<i>S</i>)
5	4	Air	CH ₂ Cl ₂	1	168	99	90 (<i>S</i>)
6	4	Air	CCl ₄	5	24	42	36 (<i>S</i>)
7	4	Air	CHCl ₃	5	24	40	63 (<i>S</i>)
8	4	Air	(CH ₂ Cl) ₂	5	24	99	89 (<i>S</i>)

^a Numbers shows molar equiv. to the diformyl compounds.

afford (*S*)-BINOL with similar enantiocontrol to that mediated in CH₂Cl₂ (entry 8).



4. Confirmation of the oxidative state of vanadium in the catalyst

During further characterization, single crystals suitable for X-ray analysis were obtained by recrystallization of (*R*_a,*S*,*S*)-**1a** from MeOH–Et₂O–H₂O in the presence of NaOAc as an interfusion form in the complexation as shown in Scheme 2.¹⁷ The binding energy of V 2p_{3/2} for the crystals is 516.9 eV by X-ray photoelectron spectroscopy (XPS), which is attributed to V(V).¹⁸ The complex was oxidized to a distorted octahedral vanadium(V) species with one extra hydroxide to each vanadium center [V1–O3, 1.747(5) Å] (Fig. 4a).¹⁹ The hydroxyl group is anti to the imine nitrogen. The V=O [V1–O2, 1.602(8) Å] bond is syn to the *tert*-butyl groups in the template. The sodium cation is coordinated by the oxygen atoms of two carboxylic groups

[Na1–O4, 2.393(5) Å] on the (*S*)-*tert*-leucine regions. The coordination of oxygen to several sodium cations results in a helical molecular aggregation within the crystal (Fig. 4b and 4c). To determine the oxidative state of vanadium after complex formation to yield (*R*_a,*S*,*S*)-**1a**, superconducting quantum interference device (SQUID) analyses were carried out on the dinuclear vanadium complexes.²⁰ The magnetic susceptibility of (*R*_a,*S*,*S*)-**1a** prepared under air was 5 emu mol⁻¹ at 5 K in 5 T. In contrast, the vanadium complex prepared under argon gave a value of 28 emu mol⁻¹. The magnetic susceptibility of the single crystals obtained by the recrystallization, as shown in Fig. 4, exhibited 0 emu mol⁻¹, clearly indicating a vanadium(V) species. These results suggest the dinuclear vanadium(IV) complex is readily oxidized to afford a vanadium(V) species during preparation in air. When single crystals (Fig. 4) were used as the catalyst for the oxidative coupling of 2-naphthol, no catalytic activity was observed. This may be attributed to the low solubility in CH₂Cl₂. However, the addition of 1 equiv. of 5 N HCl aq. to the sodium in the crystal gave a homogeneous solution.²¹ To the homogeneous solution containing 5 mol% of the dinuclear vanadium(V) complex was added 2-naphthol under air, providing (*S*)-BINOL in 90% yield with 83% ee after 24 h.

5. Kinetic analysis of vanadium complexes in the coupling reaction

In order to clarify the *dual activation* mechanism in the dinuclear vanadium catalyst (*R*_a,*S*,*S*)-**1a**, mononuclear vanadium(IV) complex (*S*)-**19** was prepared. In the kinetic analysis (Fig. 5), the amount of (*S*)-**19** used was twice that of (*R*_a,*S*,*S*)-**1a** because

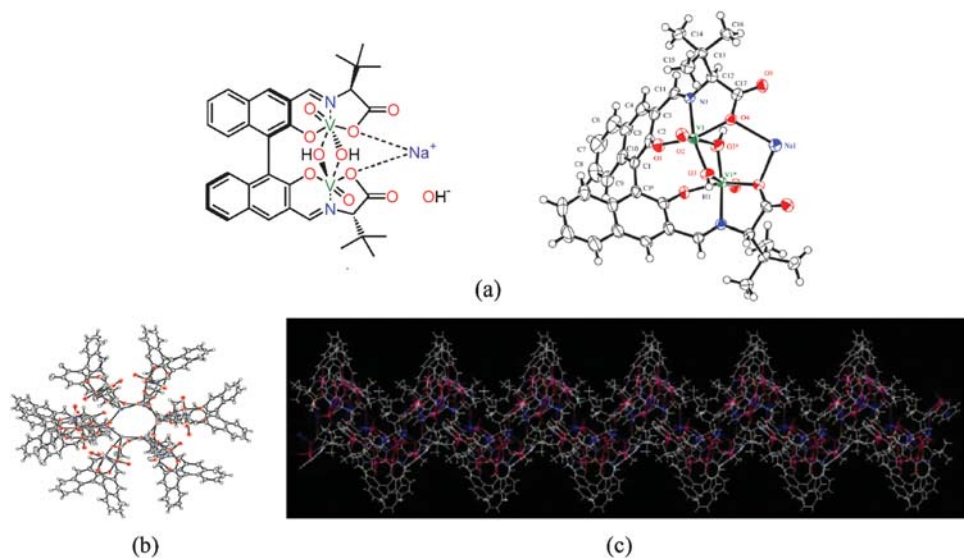


Fig. 4 (a) Structure of the dinuclear vanadium(v) complex (R_a,S,S)-2 NaOH. A counter anion OH^- , the hydrogen atom of which has not been determined, is omitted for clarity. (b) Top view. (c) Side view (ref. 3b).

(S)-**19** has only one active center. The catalysis by (R_a,S,S)-**1a** and (S)-**19** obeyed second-order kinetics up to about 15 h. The mononuclear complex (S)-**19** had quite low activity and gave almost racemic BINOL. The calculated rate constants for the coupling reactions were $k_{(R_a,S,S)\text{-1a}} = 0.1738 \text{ M}^{-1}\text{h}^{-1}$ and $k_{(S)\text{-19}} = 0.0036 \text{ M}^{-1}\text{h}^{-1}$. The coupling rate in the presence of 5 mol% of the dinuclear complex (R_a,S,S)-**1a** was shown to be up to 48.3 times faster than that using 10 mol% of the mononuclear complex (S)-**19**. Calculations based on Arrhenius and Eyring plots indicated that the activation energies of catalysts (R_a,S,S)-**1a** and (S)-**19** were $\Delta E_{(R_a,S,S)\text{-1a}} = 0.74 \text{ kcal mol}^{-1}$ and $\Delta E_{(S)\text{-19}} = 23 \text{ kcal mol}^{-1}$. The activation entropy and enthalpy of catalyst (R_a,S,S)-**1a** were $\Delta S^\ddagger_{(R_a,S,S)\text{-1a}} = -7.8 \times 10^{-2} \text{ kcal mol}^{-1} \text{ K}^{-1}$ and $\Delta H^\ddagger_{(R_a,S,S)\text{-1a}} = 0.16 \text{ kcal mol}^{-1}$, and those of (S)-**19** were $\Delta S^\ddagger_{(S)\text{-19}} = -1.5 \times 10^{-2} \text{ kcal mol}^{-1} \text{ K}^{-1}$, and $\Delta H^\ddagger_{(S)\text{-19}} = 21 \text{ kcal mol}^{-1}$, respectively. The lower activation entropy using

(R_a,S,S)-**1a** compared to (S)-**19** is attributed to the dual activation of two 2-naphthol molecules in one chiral complex to produce BINOL. The higher activation enthalpy using (S)-**19** compared to (R_a,S,S)-**1a** is due to the intermolecular radical-radical coupling of reaction intermediates after complexation of (S)-**19** with 2-naphthol. To realize the most powerful dual activation catalyst in the oxidative coupling, dinuclear vanadium(v) complex (R_a,S,S)-**2** and mononuclear vanadium(v) complex (S)-**20** were also prepared by a use of VOCl_3 as vanadium(v) source. The coupling of 2-naphthol was catalyzed by (R_a,S,S)-**2** with reaction rates enhanced 2.3 times faster than that of (R_a,S,S)-**1a**. The dinuclear vanadium(iv) complex is likely a precatalyst.²² Interestingly, not only the reaction rate but also the enantioselectivity of mononuclear vanadium(v) complex (S)-**20** was significantly higher than that of mononuclear vanadium(iv) complex (S)-**19**. In addition, an induction period was observed when using (S)-**20**.²³

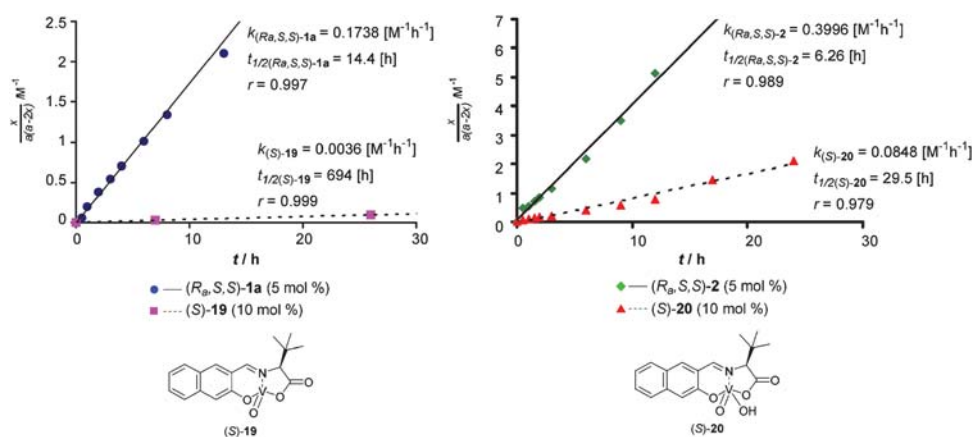
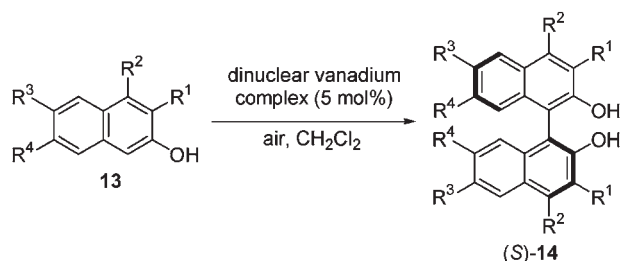


Fig. 5 Kinetic analysis of either mononuclear vanadium or dinuclear vanadium catalyst-mediated oxidative coupling reactions of 2-naphthol; a = initial concentration of 2-naphthol (0.2 M), x = concentration of BINOL.

Table 4 Coupling reaction of 2-naphthols catalyzed by dinuclear vanadium complexes

Entry	Vanadium complex	Substrat	Temp./°C	Time/h	Product and yield (%)	Ee (%)
1	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = R ³ = R ⁴ = H, 13a	30	24	14a , 76	91
2	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13a	0	72	14a , quant.	90
3	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13a	0	72	14a , 56	97
4	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = OMe, R ⁴ = H, 13b	30	24	14b , quant.	86
5	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13b	30	24	14b , 98	89
6	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = Br, R ⁴ = H, 13c	30	48	14c , 43	68
7	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13c	30	48	14c , 83	81
8	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13c	30	48	14c , 31	78
9	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = CO ₂ Me, R ² = R ³ = R ⁴ = H, 13d	30	240	14d , trace	—
10	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13d	30	240	14d , 10	4
11	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = H, R ² = Br, R ³ = R ⁴ = H, 13e	30	48	14e , 35	86
12	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = Me, R ⁴ = H, 13f	0	72	14f , 62	92
13	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = OMOM, R ⁴ = H, 13g	0	72	14g , 83	89
14	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = OBn, R ⁴ = H, 13h	30	24	14h , 94	89
15	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13h	0	72	14h , 94	80
16	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = Bn, R ⁴ = H, 13i	0	72	14i , 91	93
17	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13i	0	72	14i , 91	90
18	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13i	0	72	14i , 69	97
19	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = H, R ³ = Ph, R ⁴ = H, 13j	30	24	14j , 82	88
20	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13j	30	36	14j , quant.	86
21	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13j	30	72	14j , quant.	93
22	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = R ³ = H, R ⁴ = OMe, 13k	30	24	14k , 55	86
23	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13k	30	24	14k , 98	86
24	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13k	0	72	14k , 67	93
25	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = R ³ = H, R ⁴ = OMOM, 13l	0	72	14l , 51	92
26	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = R ² = R ³ = H, R ⁴ = OCH ₂ CHCH ₂ , 13m	30	24	14m , 71	87
27	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13m	30	24	14m , quant.	87
28	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13m	0	72	14m , 45	87
29	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	9-Phenanthrol, 13n	0	24	14n , 84	77
30	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13n	0	24	14n , 94	84
31	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	13n	0	24	14n , 84	77
32	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	R ¹ = OMe, R ² = R ³ = R ⁴ = H, 13o	30	240	14o , 35	45
33	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13o	30	240	14o , 35	48
34	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	5,6,7,8-Tetrahydro-2-naphthol, 13p	30	120	14p , 12	13
35	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13p	30	120	14p , 26	14
36	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	13a	30	24	14a , 96	85
37	(<i>S</i> _a , <i>S</i> , <i>S</i>)- 2	13a	30	24	14a , 15	47
38	(<i>S</i>)- 20^d	13a	30	24	14a , 37	81

^a 10 mol% of catalyst was used.

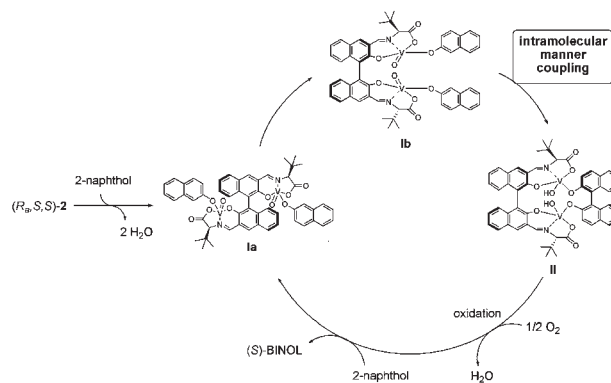
6. Dinuclear vanadium complexes catalyzed oxidative coupling reaction of 2-naphthols

To elucidate the generality of the dual activation concept in oxidative coupling of 2-naphthols, the C3, C4, C6 or C7 substituted 2-naphthols were investigated with dinuclear vanadium complexes (Table 4). 2-Naphthol bearing electron donating or withdrawing groups at the C4 (R²), C6 (R³) and C7 (R⁴) positions resulted in coupling products with high enantioselectivities (entries 4–8 and 11–28). 9-Phenanthrol was found to be an adequate substrate (entries 29–31), although appending a substituent at the C3 position (R¹ = CO₂Me, OMe) led to the

corresponding product with diminished yield and ee (entries 9, 10, 32 and 33). These C3-substituted 2-naphthols barely approach vanadium on the catalyst due to steric hindrance. The dinuclear vanadium complexes mediated coupling of 5,6,7,8-tetrahydro-2-naphthol to afford H8-BINOL²⁴ for the first time, though with low chemical yields and low enantioselectivities (entries 34 and 35). In terms of enantioselectivity, the best result was achieved by using dinuclear vanadium(v) complex (*R*_a,*S*,*S*)-**3**, which was prepared from VOCl₃, (*R*)-**3**, 3'-diformyl-H8-BINOL and (*S*)-*tert*-leucine. (*S*)-BINOLs were obtained in 97% ee when using (*R*_a,*S*,*S*)-**3** (entries 3 and 18).

7. Reaction mechanism of chiral dinuclear complex-mediated coupling

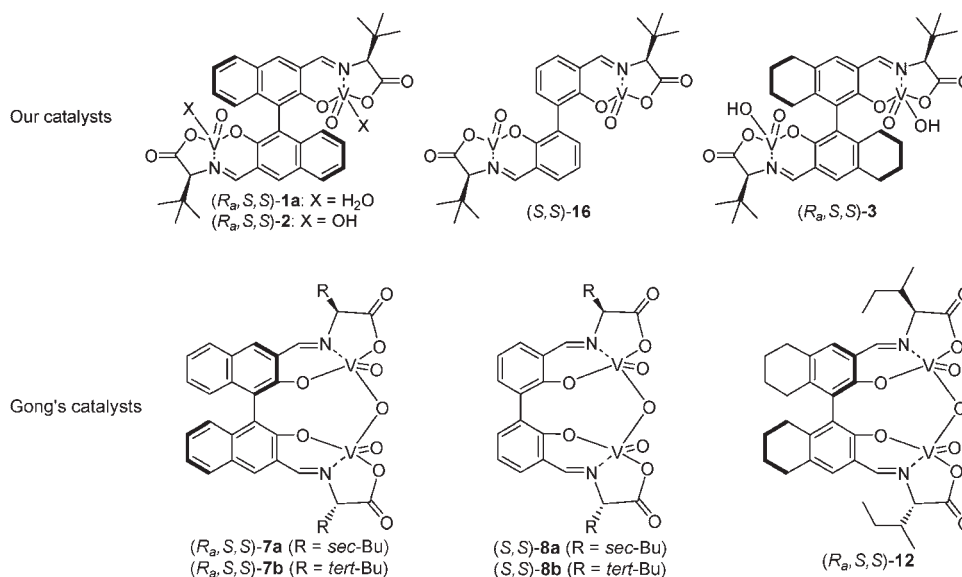
In the coupling of 2-naphthol using 5 mol% of (R_a,S,S,S) -2 under Ar, BINOL was formed with only 9% yield after 48 h due to no re-oxidation of the vanadium(IV) complex in the absence of air. After introducing air to the reaction vessel, the catalytic cycle sufficiently resumed to produce BINOL in 99% yield. The cross-couplings of **13a** with **13d**, and **13b** with **13c** catalyzed by (R_a,S,S,S) -2 afforded only homolytic coupling products **14** and no cross-coupling product was observed in any case (**14a** and **14d**: quant. (83% ee) and 0% yield; **14b** and **14c**: 98% yield (86% ee) and 21% yield (68% ee), respectively). The complex (R_a,S,S,S) -2 mediated coupling might include neither a simple radical–radical nor a radical–anion



Scheme 3 Plausible mechanism for (R_a,S,S,S) -2 catalyzed oxidative coupling reaction.

Table 5 Preparation and catalytic activity of dinuclear vanadium(IV) catalysts (R_a,S,S,S) -1a, (S,S,S,S) -16, dinuclear vanadium(V) catalysts (R_a,S,S,S) -3 and Gong's catalysts **7**, **8**, **12**

Catalyst	Preparation of complex			Catalytic activity	
	Vanadium source (mol eq) ^a	Solvent for complexation	Characterization	Optimized reaction conditions	Coupling of 13a
(R_a,S,S,S) -1a	$\text{VOSO}_4 \cdot x\text{H}_2\text{O}$, $x = 3-5$ (4.0) ^b	THF/H ₂ O	IR, HRMS(FAB), ESR	Cat. 5 mol% 30 °C, air, CH ₂ Cl ₂ 24 h	76% yield, 91% ee (S)
(R_a,S,S,S) -2	VOCl_3 (4.0)	CH ₂ Cl ₂	¹ H-, ¹³ C-, ⁵¹ V-NMR, IR, HRMS(ESI), X-ray crystallography and elemental analysis, SQUID, XANES, XPS	Cat. 5 mol% 0 °C, air, CH ₂ Cl ₂ 72 h	quant, 91% ee (S)
(S,S,S,S) -16	$\text{VOSO}_4 \cdot x\text{H}_2\text{O}$, $x = 3-5$ (4.0) ^b	THF/H ₂ O	IR, HRMS(FAB), ESR	Cat. 2.5 mol% 30 °C, air, CH ₂ Cl ₂ 72 h	84% yield, 92% ee (S)
(R_a,S,S,S) -3	VOCl_3 (4.0)	CH ₂ Cl ₂	¹ H-, ¹³ C-NMR, IR, HRMS(ESI)	Cat. 5 mol% 0 °C, air, CH ₂ Cl ₂ 72 h	56% yield, 97% ee (S)
(R_a,S,S,S) -7a ¹²	$\text{VOSO}_4 \cdot 3\text{H}_2\text{O}$ (2.0)	EtOH/THF/H ₂ O	⁵¹ V-NMR, IR, HRMS(ESI)	Cat. 10 mol% 0 °C, O ₂ , CCl ₄ 144 h	93% yield, 83% ee (R)
(R_a,S,S,S) -7b ¹²	$\text{VOSO}_4 \cdot 3\text{H}_2\text{O}$ (2.0)	EtOH/THF/H ₂ O	IR, HRMS(ESI)	Cat. 10 mol% 0 °C, O ₂ , CCl ₄ 192 h	63% yield, 71% ee (R)
(S,S,S,S) -8a ¹²	$\text{VOSO}_4 \cdot 3\text{H}_2\text{O}$ (2.2)	EtOH/THF/H ₂ O	¹ H-, ¹³ C-, ⁵¹ V-NMR, IR, HRMS(ESI), elemental analysis	Cat. 10 mol% 0 °C, O ₂ , CCl ₄ 168 h	89% yield, 89% ee (R)
(S,S,S,S) -8b ¹²	$\text{VOSO}_4 \cdot 3\text{H}_2\text{O}$ (2.2)	EtOH/THF/H ₂ O	IR, HRMS(ESI)	Cat. 10 mol% 0 °C, O ₂ , CCl ₄ 168 h	56% yield, 79% ee (R) ²⁶
(R_a,S,S,S) -12 ¹²	$\text{VOSO}_4 \cdot 3\text{H}_2\text{O}$ (2.2)	EtOH/THF/H ₂ O	IR, HRMS(ESI)	Cat. 5 mol% 0 °C, air, CCl ₄ 96 h	58% yield, 60% ee (R)



^a Numbers show molar equiv. to the diformyl compounds. ^b 99.99% $\text{VOSO}_4 \cdot x\text{H}_2\text{O}$, $x = 3-5$ (Aldrich, product no. 204862) was used.

Table 6 Catalyst activities in the oxidative coupling of 2-naphthol under Gong's optimized conditions¹²

		$\mathbf{13a} \xrightarrow[\text{O}_2, \text{CCl}_4, 0^\circ\text{C}]{\text{Catalyst (10 mol\%)}} \mathbf{14a}$		
Entry	Chiral catalyst	Time/h	Yield (%)	Ee (%)
1 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 1a	192	16	8
2 ^a	Gong's catalyst 7b (according to Gong's procedure) ^b	192	7–42	39–60
3 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	72	70	76
4 ^a	Gong's result using 7b (ref. 12)	192	63	71

^a Heterogeneous. ^b Gong's catalyst **7b** was prepared from (*R*)-**15** (1 mol equiv), (*S*)-*tert*-leucine (2.0 mol equiv) and VOSO₄ (2.0 mol equiv).¹²

Table 7 Coupling reaction of 2-naphthols catalyzed by dinuclear vanadium(v) complexes

		$\mathbf{13} \xrightarrow[\text{solvent, } 0^\circ\text{C}]{\text{dinuclear vanadium(V) complex (10 mol\%)}} \mathbf{14}$					
Entry	Catalyst	Atmosphere	Solvent	Substrate	Time/h	Yield (%)	Ee (%)
1	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CH ₂ Cl ₂	13a	72	14a , quant.	90 (<i>S</i>)
2	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	(CH ₂ Cl) ₂	13a	72	14a , 49	67 (<i>S</i>)
3 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	Toluene	13a	72	14a , 33	64 (<i>R</i>)
4 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CCl ₄	13a	72	14a , 70	76 (<i>R</i>)
5 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CCl ₄	13c	219	14c , 52	91 (<i>R</i>)
6 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CCl ₄	13d	240	14d , trace	—
7 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CCl ₄	13j	195	14j , 23	73 (<i>R</i>)
8 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CCl ₄	13k	144	14k , 48	95 (<i>R</i>)
9 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 2	O ₂	CCl ₄	13o	240	14o , trace	—
10 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	O ₂	CCl ₄	13a	76	14a , 85	78 (<i>R</i>)
11	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 12^b	Air	CCl ₄	13a	96	14a , 58	60 (<i>R</i>)
12 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	O ₂	CCl ₄	13c	168	14c , 84	83 (<i>R</i>)
13	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 12^b	Air	CCl ₄	13c	48	14c , 82	86 (<i>R</i>)
14 ^a	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 3	O ₂	CCl ₄	13k	167	14k , 98	90 (<i>R</i>)
15	(<i>R</i> _a , <i>S</i> , <i>S</i>)- 12^b	Air	CCl ₄	13k	48	14k , 94	92 (<i>R</i>)

^a Heterogeneous. ^b Reported by Gong *et al.* using 5 mol% of the catalyst. See ref. 12c.

coupling, in contrast to Gong's dinuclear vanadium(v) catalyzed coupling for which radical–radical cross-coupling is observed.¹² All of these results are in agreement with an intramolecular manner of coupling as shown in Scheme 3. Dinuclear vanadium(v) complex (*R*_a,*S*,*S*)-**2** reacts with two molecules of 2-naphthol resulting in **Ia**. The C1 positions of the 2-naphthol molecules approach each other by the rotation of the binaphthyl axis yielding **Ib**, which is then intramolecularly coupled after a single electron transfer to a vanadium(v) species. After the enolization of the coupling product and oxidation of the vanadium(IV) species **II**, the vanadium(v) species was regenerated²⁵ and (*S*)-BINOL was released. Since the catalytic activity of (*R*_a,*S*,*S*)-**2** is significantly higher than that of diastereomeric complex (*S*_a,*S*,*S*)-**2** and mononuclear complex (*S*)-**20** (Table 4, entries 36–38), intermolecular manner couplings proceed as a minor pathway.

8. Comparison of our dinuclear vanadium(IV) and (V) catalysts with Gong's catalysts

Although we independently developed dinuclear vanadium(IV) catalysts and dinuclear vanadium(V) catalysts for enantioselective oxidative coupling of 2-naphthols,³ the preparation procedure for

dinuclear vanadium(IV) catalysts is similar to that of Gong's catalysts¹² except for the use of 2.0–2.2 equiv. of vanadyl sulfate in EtOH–THF–H₂O for the complexation. Even though the absolute configurations of the components of the catalysts are the same, the absolute configurations of the major products were opposite to each other (Table 5). Since Gong carried out the coupling reaction in CCl₄ at 0 °C under oxygen, we examined the (*R*_a,*S*,*S*)-**1a** catalyzed reaction under the same conditions to compare the new character of our catalysts (Table 6). A large difference was observed between our catalysts and Gong's catalysts: (*R*_a,*S*,*S*)-**1a** and 2-naphthol had limited solubility in CCl₄ at 0 °C, and the coupling product was obtained in quite low yield and low enantioselectivity (entry 1). The use of Gong's catalyst **7b** that we prepared according to his procedure¹² provided poorly reproducible outcomes (entry 2). Finally we realized the absolute configuration of the major products was switched by choosing CCl₄ when using the dinuclear vanadium(V) catalyst.²⁶ However (*R*_a,*S*,*S*)-**2** showed much higher activity than that of Gong's catalyst **7b** (entries 3 and 4). The reason for a switch of enantioselectivity in the (*R*_a,*S*,*S*)-**2**-mediated coupling by the choice of solvent is not clearly understood at the moment, but may involve the generation of oligomeric dinuclear complexes with different asymmetric induction abilities

from that of the monomeric dinuclear complex (R_a,S,S)-**2**.^{27,28} As a result, reactions run in CH_2Cl_2 or $(\text{CH}_2\text{Cl})_2$ provided (*S*)-configuration of BINOLs (Table 7, entries 1 and 2), and for all reactions run in CCl_4 or toluene gave (*R*)-BINOLs (entries 3–10, 12 and 14).

Conclusion

Our recent work on the development of dual activation catalysis for the homolytic coupling of 2-naphthols promoted by dinuclear vanadium complexes was reviewed. Chiral dinuclear vanadium(IV) complex (R_a,S,S)-**1a** and vanadium(V) complexes **2** and **3** simultaneously activate two molecules of 2-naphthols to afford (*S*)-BINOLs in high yield with high enantioselectivity.

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- 19 The pre-edge peak position (5468.0 eV) of the crystal by X-ray absorption near edge structure (XANES) analysis is comparable as that of dinuclear vanadium(v) complex (*R_a,S,S*)-**2** (5468.0 eV) and mononuclear vanadium(v) complex (*S*)-**20** (5468.0 eV).
- 20 The magnetic susceptibilities of VOSO₄ and V₂O₅ as vanadium(IV) and (V) standards are 38 and 0 emu mol⁻¹, respectively.
- 21 The ¹H-NMR chart of the vanadium(v) species causing form the addition of 1 equiv. of 5 N HCl aq. to the sodium cation on the crystal was same as that of (*R_a,S,S*)-**2**.
- 22 An induction period was observed when dinuclear vanadium(IV) complex (*R_a,S,S*)-**1a** was used for the coupling of 2-naphthol. The %ee of the product increased during the course of the reaction when using (*R_a,S,S*)-**1a** from 38% ee at 4% conversion to 86% ee at 14% conversion while the %ee of the product remained nearly constant (83–85% ee) when using (*R_a,S,S*)-**2**.
- 23 The %ee of the product increased during the course of the reaction catalyzed by (*S*)-**20**; from 26% ee at 2% conversion to 77% ee at 19% conversion. In general a mononuclear vanadium(v) complex tends to form a dimeric complex by self-assembly. This induction period might arise due to the monomerization in the reaction media.
- 24 D. J. Cram, R. C. Helgeson, S. C. Peacock, L. J. Kaplan, L. A. Domeier, P. Moreau, K. Koga, J. M. Mayer, Y. Chao, M. G. Siegel, D. H. Hoffman and G. D. Y. Sogah, *J. Org. Chem.*, 1978, **43**, 1930.
- 25 The iodimetry of the reaction solvent after the coupling of 2-naphthol indicated no generation of H₂O₂.
- 26 Although Prof. Gong kindly gave us 5 mg of dinuclear vanadium catalyst (*R,R*)-**8b**, it showed diminished catalytic activity and enantiocontrol (0 °C, 10 days in CCl₄, 88% yield, 11% ee). This may be attributable to an instability of the catalyst (personal communication).
- 27 Although the CSI-MS studies of (*R_a,S,S*)-**2** in CCl₄ showed an existence of the dimeric and trimeric dinuclear vanadium complexes, most of the catalyst was insolvent. Further studies aimed at elucidating active species in CCl₄ and its mechanism are currently in progress.
- 28 N. Kato, T. Mita, K. Kanai, B. Therrien, M. Kawana, K. Yamaguchi, H. Danjo, Y. Sei, A. Sato, S. Furusho and M. Shibasaki, *J. Am. Chem. Soc.*, 2006, **128**, 6768.